



INTEGRATED MANAGED INVESTMENTS (PTY) LTD

Cape Town Office
Mariendahl House
Newlands on Main
Cnr Campground & Main Road
Newlands
Cape Town

Registered Postal Address:
Postnet Suite #29
Private Bag X1005
Claremont
7735

Tel: +27 (0)21 671 4700
Email: admin@imi.co.za

*STATUTORY DISCLOSURE NOTICE / LETTER OF INTRODUCTION

Integrated Managed Investments is an authorised Financial Services Provider (“FSP”) in terms of the Financial Advisory and Intermediary Services Act (“FAIS”).

1. Full business and trade names of FSP: Integrated Managed Investments (PTY) Ltd
2. Name, contact number and concise details of the legal and contractual status of the key individual or representative:

John Anthony Slauck is employed by the FSP to render financial services on behalf of the FSP as a representative in Cape Town - 083 306 4175

John Cameron Lamont is employed by the FSP to render financial services on behalf of the FSP as a key individual and representative in Cape Town - 082 588 1387

Leonardo Altini is employed by the FSP to render financial services on behalf of the FSP as a key individual and representative in Cape Town – 072 200 9476

Jacobus Johannes Rossouw is mandated by the FSP to render financial services on behalf of the FSP as a representative as well as a supervised representative in respect of subcategory 1.7 in Cape Town – 021 481 6200

3. The FSP accepts responsibility for the actions of its key individuals and representative in the rendering of the financial service involved.
4. The extent to which the client will have to accept any responsibility is set out in this and other documentation furnished to the client.
5. The name and contact details of the compliance officer of the FSP:

Financial Services Compliance CC t/a Compliance Consulting
FSB reg no.: CO 325
Compliance Officer: Greta Maritz
Telephone number: 082 901 4269
E-mail address: info@complianceconsulting.co.za
Website address: www.complianceconsulting.co.za

6. Details of the financial services which the FSP is authorised to provide in terms of its licence:

1		CATEGORY I		
			Advice	Intermediary
1	1	Long-Term Insurance : Category A	X	X
1	3	Long-Term Insurance : Category B1	X	X
1	20	Long-Term Insurance : Category B2	X	X
1	4	Long-Term Insurance : Category C	X	X
1	5	Retail Pension Benefits	X	X
1	7	Pension Funds Benefits (excluding retail pension benefits)	X	X
1	8	Securities and Instruments : Shares	X	X
1	9	Securities and Instruments : Money Market Instruments	X	X
1	10	Securities and Instruments : Debentures and Securitised Debt	X	X
1	11	Securities and Instruments : Warrants, Certificates and other Instruments	X	X
1	12	Securities and Instruments : Bonds	X	X
1	13	Securities and Instruments : Derivative Instruments	X	X
1	14	Participatory interests in Collective Investment Schemes	X	X
1	15	Foreign currency denominated investment Instruments	X	X
1	17	Deposits defined in the Banks Act – Exceeding 12 Months	X	X
1	18	Deposits defined in the Banks Act – 12 Months or less	X	X
2		CATEGORY II		
			Advice	Intermediary
2	1	Long-Term Insurance : Category B1		X
2	16	Long-Term Insurance : Category B2		X
2	2	Long-Term Insurance : Category C		X
2	3	Retail Pension Benefits		X
2	4	Pension Funds Benefits (excluding retail pension benefits)		X
2	5	Securities and Instruments : Shares		X
2	6	Securities and Instruments : Money Market Instruments		X
2	7	Securities and Instruments : Debentures and Securitised Debt		X
2	8	Securities and Instruments : Warrants, Certificates and other Instruments		X
2	9	Securities and Instruments : Bonds		X
2	10	Securities and Instruments : Derivative Instruments		X
2	11	Participatory interests in Collective Investment Schemes		X

7. The product suppliers the FSP has contractual relationships with:
- *Allan Gray*
 - *Investec*
 - *Sanlam*
 - *Glacier Financial Solutions*
 - *Momentum*
 - *Independent Securities*
 - *Credo*
 - *Union Bancaire Privee Limited*
8. Any conditions/restrictions applicable to the licence:
- None*
9. Full particulars of whether the FSP holds guarantee or professional indemnity, or fidelity insurance cover:
- PI and Fidelity Cover: R1m
10. The FSP during the preceding 12 month period received more than 30% total remuneration, including commission, from the following supplier:
- Sanlam*
11. The FSP, directly or indirectly, does not hold more than 10% of a specific product supplier's shares nor has an equivalent substantial financial interest in any product supplier.
12. All complaints must be reduced to writing and submitted to the relevant KI as outlined above at info@imi.co.za by email. A copy of our complaints policy and procedure is available on request.
13. We remind you that all material facts must be accurate and properly disclosed to the FSP.
14. In the event that a full needs analysis is not performed, we remind you to take particular care to consider the appropriateness of our advice in the light of your objectives, financial situation and needs.
15. If you elect to conclude a transaction that differs from that recommended by us/elect not to follow the advice furnished/elect to receive less information or advice than that offered, then:
- 16.1 You may be exposed to risks; and
 - 16.2 You should take particular care and to consider whether any product selected is appropriate in the light of your needs, objectives and circumstance.
- 17 Please note that we are bound by anti-money laundering legislation which requires the reporting of suspicious and unusual transactions to the Financial Intelligence Centre.

CLIENT ACKNOWLEDGEMENT

I / We _____ the undersigned hereby acknowledge receipt hereof and have been advised of and understand the contents.

Signed : _____

Print Name _____

Date : _____ day of _____ 20__